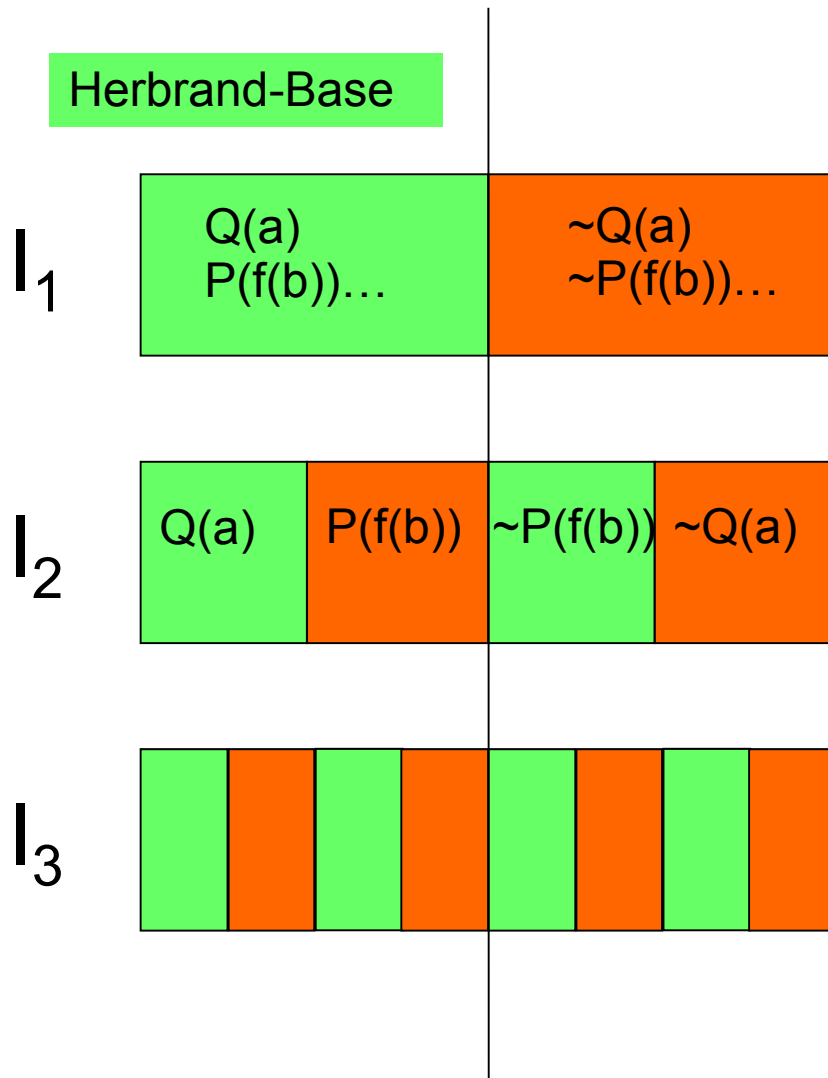


## Models of Definite Programs

# Herbrand-Interpretations



The true atoms of the Herbrand base correlate with the corresponding interpretation.

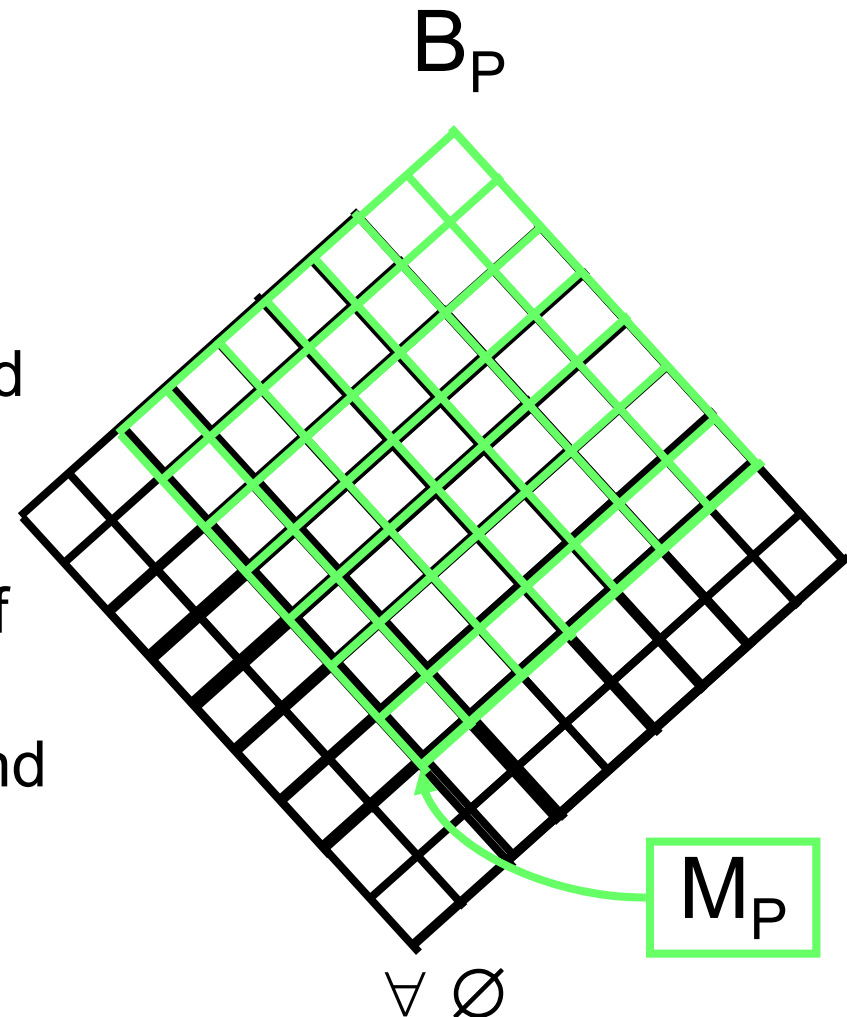


## Proposition:

Let  $P$  be a definite program and  $\{M_i\}_{i \in I}$  a non-empty set of Herbrand models for  $P$ . Then  $\bigcap_{i \in I} M_i$  is an Herbrand model for  $P$ .  
If  $\{M_i\}_{i \in I}$  contains all Herbrand models for  $p$ , then  
 $M_P := \bigcap_{i \in I} M_i$  is the *least Herbrand model* for  $P$ .

## Idea

- $(2^{B_P}, \subseteq)$  is a lattice of all Herbrand interpretations of  $P$  with the bottom element  $\emptyset$  and the top element  $B_P$
- The least upper bound (lub) of a set of interpretations is the union, the greatest lower bound is the intersection.



## Proposition:

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If  $\{M_i\}_{i \in I}$  contains all Herbrand models for  $p$ , then  $M_P := \bigcap_{i \in I} M_i$  is the *least Herbrand model* for  $P$ .

## Proof:

$\bigcap_{i \in I} M_i$  is an Herbrand interpretation. Show, that it is a model.  
Each definite program has  $B_P$  as model, hence  $I$  is not empty and one can show that  $M_P$  is a model.

## Idea:

$M_P$  is the „most natural“ model for  $P$ .

## Theorem:

Let  $P$  be a definite program. Then

$$M_P = \{A \in B_P : A \text{ is a logical consequence of } P\}.$$

## Proof:

$A$  is a logical consequence of  $P$  iff

$P \cup \{\sim A\}$  is unsatisfiable iff

$P \cup \{\sim A\}$  has no Herbrand model iff

$A$  is true wrt all Herbrand models of  $P$  iff

$A \in M_P$ .

## Definition

Let  $L$  be a lattice and  $T:L \rightarrow L$  a mapping.  
 $T$  is called *monotonic*, if  $x \leq y$  implicates, that  
 $T(x) \leq T(y)$ .

## Properties of Lattices

### Definition

Let  $L$  be a lattice and  $X \subseteq L$ ,  $X$  is called *directed*, if each finite sub-set of  $X$  has an upper bound in  $X$ .

### Definition

Let  $L$  be a lattice and  $T:L \rightarrow L$  a mapping.  
 $T$  is called *continuous*, if for each directed subset  $X$   
 $T(\text{lub}(X)) = \text{lub}(T(X))$ .



**Van Emden & Kowalski: The Semantics of Predicate Logic as a Programming Language,**  
*J. ACM* 23, 4, 1976, pp. 733-742.

## Definition

Let  $P$  be a definite program. The mapping  $T_P: 2^{B_P} \rightarrow 2^{B_P}$  is defined as follows: Let  $I$  be an Herbrand interpretation. Then

$$T_P(I) = \{ A \in B_P : A \leftarrow A_1 \wedge \dots \wedge A_n \\ \text{is a ground instance of a clause in } P \text{ and} \\ \{A_1, \dots, A_n\} \subseteq I \}$$

## Practice

Let  $P$  be a definite program.

$\text{even}(f(f(x))) \leftarrow \text{even}(x).$   
 $\text{odd}(f(x)) \leftarrow \text{even}(x).$   
 $\text{even}(0).$

Let  $I_0 = \emptyset.$

Then

$I_1 = T_P(I_0) = ?$

$T_P(I) = \{A \in B_P : A \leftarrow A_1 \wedge \dots \wedge A_n$   
is a ground instance of a  
clause in  $P$  and  
 $\{A_1, \dots, A_n\} \subseteq I\}$

## Example

Let  $P$  be a definite program.

$\text{even}(f(f(x))) \leftarrow \text{even}(x).$   
 $\text{even}(0).$

Let  $I_0 = \emptyset$ .

Then

$I_1 = T_P(I_0) = \{\text{even}(0)\},$

$I_2 = T_P(I_1) = \{\text{even}(0), \text{even}(f(f(0)))\},$

$I_3 = T_P(I_2) = \{\text{even}(0), \text{even}(f(f(0))),$   
 $\text{even}(f(f(f(f(0))))\}, \dots$

$T_P(I) = \{A \in B_P : A \leftarrow A_1 \wedge \dots \wedge A_n$   
 is a ground instance of a  
 clause in  $P$  and  
 $\{A_1, \dots, A_n\} \subseteq I\}$

$T_P$  is monotonic.

Let  $P$  be a definite program.

```
plus(x,f(y),f(z)) <- plus(x,y,z)
plus(f(x),y,f(z)) <- plus(x,y,z)
plus(0,0,0)
```

Let  $I_0 = \emptyset$ .

Then

$I_1 = T_P(I_0) = ?$

$T_P(I) = \{A \in B_P : A \leftarrow A_1 \wedge \dots \wedge A_n$   
is a ground instance of a  
clause in  $P$  and  
 $\{A_1, \dots, A_n\} \subseteq I\}$

Let  $P$  be a definite program.

$\text{plus}(x, f(y), f(z)) \leftarrow \text{plus}(x, y, z)$   
 $\text{plus}(f(x), y, f(z)) \leftarrow \text{plus}(x, y, z)$   
 $\text{plus}(0, 0, 0)$

$T_P(I) = \{A \in B_P : A \leftarrow A_1 \wedge \dots \wedge A_n$   
 is a ground instance of a  
 clause in  $P$  and  
 $\{A_1, \dots, A_n\} \subseteq I\}$

Let  $I_0 = \emptyset$ .

Then

$I_1 = T_P(I_0) = \{\text{plus}(0, 0, 0)\}$

$I_2 = T_P(I_1) = \{\text{plus}(0, 0, 0),$   
 $\text{plus}(f(0), 0, f(0)),$   
 $\text{plus}(0, f(0), f(0))\}$

$I_3 = T_P(I_2) = \{\text{plus}(0, 0, 0),$   
 $\text{plus}(f(0), 0, f(0)),$   
 $\text{plus}(0, f(0), f(0)),$   
 $\text{plus}(f(f(0)), 0, f(f(0))),$   
 $\text{plus}(0, f(f(0)), f(f(0))),$   
 $\text{plus}(f(0), f(0), f(f(0))),$   
 $\text{plus}(f(0), f(0), f(f(0)))\} \dots$

## Proposition

Let  $P$  be a definite program and  $I$  be an Herbrand interpretation of  $P$ . Then  $I$  is a model for  $P$  iff  $T_P(I) \subseteq I$ .

$\Rightarrow \checkmark$

Let  $I$  be an Herbrand interpretation, which is not a model of  $P$  and  $T_P(I) \subseteq I$ . Then there exist ground instances  $\{\sim A, A_1, \dots, A_n\} \in I$  and a clause  $A \leftarrow A_1 \wedge \dots \wedge A_n$  in  $P$ . Then  $A \in T_P(I) \subseteq I$ . Refutation.  $\blacklightning$

## Definition

let  $L$  be a complete lattice and  $T:L \rightarrow L$  be monotonic. Then we define

$$T \uparrow 0 = \perp$$

$$T \uparrow \alpha = T(T \uparrow (\alpha - 1)), \text{ if } \alpha \text{ is a successor ordinal}$$

$$T \uparrow \alpha = \text{lub}\{T \uparrow \beta : \beta < \alpha\} \text{ if } \alpha \text{ is a limit ordinal}$$

$$T \downarrow 0 = T$$

$$T \downarrow \alpha = T(T \downarrow (\alpha - 1)), \text{ if } \alpha \text{ is a successor ordinal}$$

$$T \downarrow \alpha = \text{lub}\{T \downarrow \beta : \beta < \alpha\} \text{ if } \alpha \text{ is a limit ordinal}$$

## Example

## Theorem

Let  $P$  be a definite program.

Then  $M_P = \text{lfp}(T_P) = T_P \uparrow \omega$ , where

$T \uparrow \alpha = T(T \uparrow (\alpha - 1))$ , if  $\alpha$  is a successor ordinal

$T \uparrow \alpha = \text{lub}\{T \uparrow \beta : \beta < \alpha\}$ , if  $\alpha$  is a limit ordinal

## Proof

$M_P = \text{glb}\{I : I \text{ is an Herbrand model for } P\}$

$= \text{glb}\{I : T_P(I) \subseteq I\}$

$= \text{lfp}(T_P)$

(not shown here)

$= T_P \uparrow \omega$ .



Greatest Fixpoint not as easy to have:

- Example program
  - ♦  $p(f(x)) \leftarrow p(x)$
  - ♦  $q(a) \leftarrow p(x)$
  
- $T_P \downarrow \omega = \{q(a)\}$
- $T_P \downarrow (\omega+1) = \{\} = \text{gfp}(T_P)$

## Definition

Let  $P$  be a definite program and  $G$  a definite goal. An *answer* for  $P \cup \{G\}$  is a substitution for variables of  $G$ .

## Definition

Let  $P$  be a definite program,  $G$  a definite goal  $\leftarrow A_1 \wedge \dots \wedge A_n$  and  $\theta$  an answer for  $P \cup \{G\}$ .

We say that  $\theta$  is a *correct answer* for  $P \cup \{G\}$ , if  $\forall ((A_1 \wedge \dots \wedge A_n)\theta)$  is a logical consequence of  $P$ .  
The answer „no“ is *correct* if  $P \cup \{G\}$  is satisfiable.

## Example

## Theorem

Let  $P$  be a definite program and  $G$  a definite goal

$\leftarrow A_1 \wedge \dots \wedge A_n$ . Suppose  $\theta$  is an answer for  $P \cup \{G\}$ , such that  $(A_1 \wedge \dots \wedge A_n)\theta$  is ground. Then the following are equivalent:

1.  $\theta$  is correct
2.  $(A_1 \wedge \dots \wedge A_n)\theta$  is true wrt every Herbrand model of  $P$
3.  $(A_1 \wedge \dots \wedge A_n)\theta$  is true wrt the least Herbrand model of  $P$ .

## Proof

it suffices to show that 3 implies 1

$(A_1 \wedge \dots \wedge A_n)\theta$  is true wrt the least Herbrand model of  $P$  implies

$(A_1 \wedge \dots \wedge A_n)\theta$  is true wrt all Herbrand models of  $P$  implies

$\sim(A_1 \wedge \dots \wedge A_n)\theta$  is false wrt all Herbrand models of  $P$  implies

$P \cup \{\sim(A_1 \wedge \dots \wedge A_n)\theta\}$  has no Herbrand models

implies  $P \cup \{\sim(A_1 \wedge \dots \wedge A_n)\theta\}$  has no models.